



AF/3339  
61

Electronic Filing System (EFS) Data  
Electronic Patent Application Submission  
USPTO Use Only

EFS ID: 63036  
Application ID: 09735426  
Title of Invention: ELECTROSURGICAL SYSTEMS  
AND METHODS FOR  
RECANALIZATION OF OCCLUDED  
BODY LUMENS  
First Named Inventor: Paul Davison  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2000-12-12  
Effective Receipt Date: 2004-06-21  
Submission Type: Information Disclosure  
Statement  
Filing Type:  
Confirmation number: 5287  
Attorney Docket Number: NONE






RECEIVED  
JUN 25 2004  
TECHNOLOGY CENTER 3700

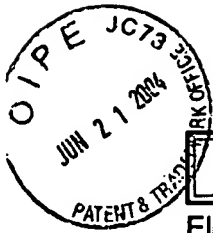
Total Fees Authorized:

Digital Certificate Holder: cn=John Thomas Raffle,ou=Registered Attorneys,ou=Patent and  
Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US  
Certificate Message Digest: 2f107b964ba61a891f3ffa895b0c207b61728b73

Electronic Version v1.1  
Stylesheet Version v1.1.0

<b>Title of Invention</b>	<b>ELECTROSURGICAL SYSTEMS AND METHODS FOR RECANALIZATION OF OCCLUDED BODY LUMENS</b>																
<table><tr><td>Application Number:</td><td>09/735426</td><td></td></tr><tr><td>Date:</td><td>2000-12-12</td><td></td></tr><tr><td>First Named Applicant:</td><td>Paul O. Davison</td><td></td></tr><tr><td>Confirmation Number:</td><td>5287</td><td></td></tr><tr><td>Attorney Docket Number:</td><td></td><td></td></tr></table>			Application Number:	09/735426		Date:	2000-12-12		First Named Applicant:	Paul O. Davison		Confirmation Number:	5287		Attorney Docket Number:		
Application Number:	09/735426																
Date:	2000-12-12																
First Named Applicant:	Paul O. Davison																
Confirmation Number:	5287																
Attorney Docket Number:																	
<p>I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.</p> <p>I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.</p>																	
<table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>Richard Batt Registered Number: 43485</td><td>RRB</td><td>Attorney</td></tr></tbody></table>			Submitted by:	Elec. Sign.	Sign. Capacity	Richard Batt Registered Number: 43485	RRB	Attorney									
Submitted by:	Elec. Sign.	Sign. Capacity															
Richard Batt Registered Number: 43485	RRB	Attorney															
<table><tr><td><b>Documents being submitted</b></td><td><b>Files</b></td></tr><tr><td>us-ids</td><td>C-8-2CARDIO3-usidst.xml</td></tr><tr><td></td><td>us-ids.dtd</td></tr><tr><td></td><td>us-ids.xsl</td></tr></table>			<b>Documents being submitted</b>	<b>Files</b>	us-ids	C-8-2CARDIO3-usidst.xml		us-ids.dtd		us-ids.xsl							
<b>Documents being submitted</b>	<b>Files</b>																
us-ids	C-8-2CARDIO3-usidst.xml																
	us-ids.dtd																
	us-ids.xsl																
<b>Comments</b>  8 of 8																	

**RECEIVED**  
JUN 25 2004  
TECHNOLOGY CENTER 3700



## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	ELECTROSURGICAL SYSTEMS AND METHODS FOR RECANALIZATION OF OCCLUDED BODY LUMENS																																																																																																																																														
<p>Application Number: 09/735426</p> <p>Confirmation Number: 5287</p> <p>First Named Applicant: Paul Davison</p> <p>Attorney Docket Number:</p> <p>Search string: ( 4968314 or 5429144 or 5242386 or 5196006 or 4060087 or 5860974 or 5893885 or 5669907 or 5348554 or 5403311 or RE33925 or 5626576 or 6174308 or 4998933 or 5697909 or 6161543 ).pn.</p> <p>US Patent Documents</p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td><input checked="" type="checkbox"/></td><td>1</td><td>4968314</td><td>1990-11-06</td><td>Michaels</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>2</td><td>5429144</td><td>1995-07-04</td><td>Wilk</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>3</td><td>5242386</td><td>1993-09-07</td><td>Holzer</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>4</td><td>5196006</td><td>1993-03-23</td><td>Klopotek</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>5</td><td>4060087</td><td>1977-11-29</td><td>Hiltebrandt</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>6</td><td>5860974</td><td>1999-01-19</td><td>Abele</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>7</td><td>5893885</td><td>1999-04-13</td><td>Webster Jr.</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>8</td><td>5669907</td><td>1997-09-23</td><td>Platt</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>9</td><td>5348554</td><td>1994-09-20</td><td>Imran</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>10</td><td>5403311</td><td>1995-04-04</td><td>Abele</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>11</td><td>RE33925</td><td>1992-05-12</td><td>Bales</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>12</td><td>5626576</td><td>1997-05-06</td><td>Janssen</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>13</td><td>6174308</td><td>2001-01-16</td><td>Goble</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>14</td><td>4998933</td><td>1991-03-12</td><td>Eggers</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>15</td><td>5697909</td><td>1997-12-16</td><td>Eggers</td><td></td><td></td><td></td></tr><tr><td><input checked="" type="checkbox"/></td><td>16</td><td>6161543</td><td>2000-12-19</td><td>Cox</td><td></td><td></td><td></td></tr></tbody></table>								init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass	<input checked="" type="checkbox"/>	1	4968314	1990-11-06	Michaels				<input type="checkbox"/>	2	5429144	1995-07-04	Wilk				<input type="checkbox"/>	3	5242386	1993-09-07	Holzer				<input type="checkbox"/>	4	5196006	1993-03-23	Klopotek				<input type="checkbox"/>	5	4060087	1977-11-29	Hiltebrandt				<input type="checkbox"/>	6	5860974	1999-01-19	Abele				<input type="checkbox"/>	7	5893885	1999-04-13	Webster Jr.				<input type="checkbox"/>	8	5669907	1997-09-23	Platt				<input type="checkbox"/>	9	5348554	1994-09-20	Imran				<input type="checkbox"/>	10	5403311	1995-04-04	Abele				<input type="checkbox"/>	11	RE33925	1992-05-12	Bales				<input type="checkbox"/>	12	5626576	1997-05-06	Janssen				<input type="checkbox"/>	13	6174308	2001-01-16	Goble				<input type="checkbox"/>	14	4998933	1991-03-12	Eggers				<input type="checkbox"/>	15	5697909	1997-12-16	Eggers				<input checked="" type="checkbox"/>	16	6161543	2000-12-19	Cox			
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass																																																																																																																																								
<input checked="" type="checkbox"/>	1	4968314	1990-11-06	Michaels																																																																																																																																											
<input type="checkbox"/>	2	5429144	1995-07-04	Wilk																																																																																																																																											
<input type="checkbox"/>	3	5242386	1993-09-07	Holzer																																																																																																																																											
<input type="checkbox"/>	4	5196006	1993-03-23	Klopotek																																																																																																																																											
<input type="checkbox"/>	5	4060087	1977-11-29	Hiltebrandt																																																																																																																																											
<input type="checkbox"/>	6	5860974	1999-01-19	Abele																																																																																																																																											
<input type="checkbox"/>	7	5893885	1999-04-13	Webster Jr.																																																																																																																																											
<input type="checkbox"/>	8	5669907	1997-09-23	Platt																																																																																																																																											
<input type="checkbox"/>	9	5348554	1994-09-20	Imran																																																																																																																																											
<input type="checkbox"/>	10	5403311	1995-04-04	Abele																																																																																																																																											
<input type="checkbox"/>	11	RE33925	1992-05-12	Bales																																																																																																																																											
<input type="checkbox"/>	12	5626576	1997-05-06	Janssen																																																																																																																																											
<input type="checkbox"/>	13	6174308	2001-01-16	Goble																																																																																																																																											
<input type="checkbox"/>	14	4998933	1991-03-12	Eggers																																																																																																																																											
<input type="checkbox"/>	15	5697909	1997-12-16	Eggers																																																																																																																																											
<input checked="" type="checkbox"/>	16	6161543	2000-12-19	Cox																																																																																																																																											



RECEIVED  
JUN 25 2004  
TECHNOLOGY CENTER 3700

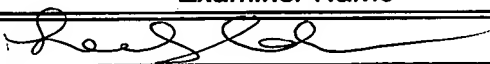


## Remarks

Note: Remarks are not for responding to an office action.

This submission is part 8 of 8 parts each submitted on June 21, 2004. The first part of this submission (part 1) has been concomitantly filed via priority mail in a BOX with instructions for the PTO to charge the proper fee. An RCE has been filed in this case and we do not believe that this part (part 8) of this IDS submission should require an additional fee. Thank you.

## Signature

Examiner Name	Date
	7/14/04



AF/3739  
61

**Electronic Filing System (EFS) Data**  
**Electronic Patent Application Submission**  
**USPTO Use Only**

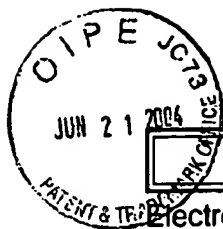
EFS ID: 63031  
Application ID: 09735426  
Title of Invention: ELECTROSURGICAL SYSTEMS  
AND METHODS FOR  
RECANALIZATION OF OCCLUDED  
BODY LUMENS  
First Named Inventor: Paul Davison  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2000-12-12  
Effective Receipt Date: 2004-06-21  
Submission Type: Information Disclosure  
Statement  
Filing Type:  
Confirmation number: 5287  
Attorney Docket Number: NONE




**RECEIVED**  
**JUN 25 2004**  
TECHNOLOGY CENTER 3700

Total Fees Authorized:

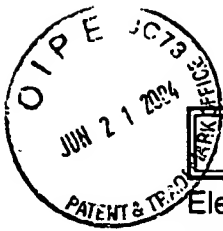
Digital Certificate Holder: cn=John Thomas Raffle,ou=Registered Attorneys,ou=Patent and  
Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US  
Certificate Message Digest: fcc34ed89faf0ee4dfcd7cbcae8a409e896248d7

**TRANSMITTAL**

Electronic Version v1.1  
Stylesheet Version v1.1.0

<b>Title of Invention</b>	<b>ELECTROSURGICAL SYSTEMS AND METHODS FOR RECANALIZATION OF OCCLUDED BODY LUMENS</b>									
<b>Application Number:</b> 09/735426  <b>Date:</b> 2000-12-12 <b>First Named Applicant:</b> Paul O Davison  <b>Confirmation Number:</b> 5287 <b>Attorney Docket Number:</b>										
<p>I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.</p> <p>I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.</p>										
<table border="1"><thead><tr><th><b>Submitted by:</b></th><th><b>Elec. Sign.</b></th><th><b>Sign. Capacity</b></th></tr></thead><tbody><tr><td>Richard Batt Registered Number: 43485</td><td>RRB</td><td>Attorney</td></tr></tbody></table>			<b>Submitted by:</b>	<b>Elec. Sign.</b>	<b>Sign. Capacity</b>	Richard Batt Registered Number: 43485	RRB	Attorney		
<b>Submitted by:</b>	<b>Elec. Sign.</b>	<b>Sign. Capacity</b>								
Richard Batt Registered Number: 43485	RRB	Attorney								
<table border="1"><thead><tr><th><b>Documents being submitted</b></th><th><b>Files</b></th></tr></thead><tbody><tr><td>us-ids</td><td>C-8-2-usidst.xml</td></tr><tr><td></td><td>us-ids.dtd</td></tr><tr><td></td><td>us-ids.xsl</td></tr></tbody></table>			<b>Documents being submitted</b>	<b>Files</b>	us-ids	C-8-2-usidst.xml		us-ids.dtd		us-ids.xsl
<b>Documents being submitted</b>	<b>Files</b>									
us-ids	C-8-2-usidst.xml									
	us-ids.dtd									
	us-ids.xsl									
<b>Comments</b>  2 of 8										

**RECEIVED**  
JUN 25 2004  
TECHNOLOGY CENTER 3700



## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of  
Invention

ELECTROSURGICAL SYSTEMS AND METHODS FOR  
RECANALIZATION OF OCCLUDED BODY LUMENS

Application Number: 09/735426



Confirmation Number: 5287

First Named Applicant: Paul Davison

Attorney Docket Number:

Search string: ( 5496314 or 5496317 or 5571100 or 5633578  
or 5860975 or 4682596 or 5078717 or 5267994  
or 5273524 or 5300069 or 5312400 or 5334140  
or 5342357 or 5514130 or 5569242 or 4593691  
or 4931047 or 4998933 or 5178620 or 5366443  
or 5380277 or 5419767 or 5697281 or 5697882  
or 5697909 or 4532924 or 4765331 or 4976711  
or 5125928 or 4043342 or 4228800 or 4248231  
or 4326529 or 4381007 or 4476862 or 4706667  
or 5009656 or 5584872 or 5609151 or 5676693  
or 5725524 or 4232676 or 5007908 or 5370675  
or 5383917 or 4040426 or 4116198 or 4548207  
or 4823791 or 5098431 ).pn.

RECEIVED  
JUN 25 2004  
TECHNOLOGY CENTER 3700

### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

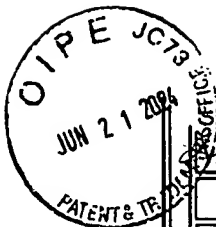
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
8/17	1	5496314	1996-03-05	Eggers			
	2	5496317	1996-03-05	Goble et al.			
	3	5571100	1996-11-05	Goble et al.			
	4	5633578	1997-05-27	Eggers et al.			
	5	5860975	1999-01-19	Goble et al.			
	6	4682596	1987-07-28	Bales et al.			
	7	5078717	1992-01-07	Parins et al.			
	8	5267994	1993-12-07	Gentelia et al.			
	9	5273524	1993-12-28	Fox et al.			
	10	5300069	1994-04-05	Hunsberger et al.			



11	5312400	1994-05-17	Bales et al.
12	5334140	1994-08-02	Philips
13	5342357	1994-08-30	Nardella
14	5514130	1996-05-07	Baker
15	5569242	1996-10-29	Lax et al.
16	4593691	1986-06-10	Lindstrom et al.
17	4931047	1990-06-05	Broadwin et al.
18	4998933	1991-03-12	Eggers et al.
19	5178620	1993-01-12	Eggers et al.
20	5366443	1994-11-22	Eggers et al.
21	5380277	1995-01-10	Phillips
22	5419767	1995-05-30	Eggers et al.
23	5697281	1997-12-16	Eggers et al.
24	5697882	1997-12-16	Eggers et al.
25	5697909	1997-12-16	Eggers et al.
26	4532924	1985-08-06	Auth et al.
27	4765331	1988-08-23	Petruzzi et al.
28	4976711	1990-12-11	Parins et al.
29	5125928	1992-06-30	Parins et al.
30	4043342	1997-08-23	Morrison, Jr.
31	4228800	1980-10-21	Degler, Jr. et al.
32	4248231	1981-02-03	Herczog et al.
33	4326529	1982-04-27	Doss et al.
34	4381007	1983-04-26	Doss
35	4476862	1984-10-16	Pao
36	4706667	1987-11-17	Roos
37	5009656	1991-04-23	Reimels
38	5584872	1996-12-17	LaFontaine et al.
39	5609151	1997-03-11	Mulier et al.
40	5676693	1997-10-14	LaFontaine et al.
41	5725524	1998-03-10	Mulier et al.
42	4232676	1980-11-11	Herczog
43	5007908	1991-04-16	Rydell
44	5370675	1994-12-06	Edwards et al.
45	5383917	1995-01-24	Desai et al.
46	4040426	1977-08-09	Morrison, Jr.

RECEIVED  
JUN 25 2004  
TECHNOLOGY CENTER 3700





<input checked="" type="checkbox"/>	47	4116198	1978-09-26	Roos
<input type="checkbox"/>	48	4548207	1985-10-22	Reimels
<input type="checkbox"/>	49	4823791	1989-04-25	DAmelio et al.
<input checked="" type="checkbox"/>	50	5098431	1992-03-24	Rydell

### Remarks

Note: Remarks are not for responding to an office action.

This submission is part 2 of 8 parts each submitted on June 21, 2004. The first part of this submission (part 1) has been concomittently filed via priority mail in a BOX with instructions for the PTO to charge the proper fee. An RCE has been filed in this case on June 17, 2004. Accordingly, we do not believe that this part (part 2) of this IDS submission should require an additional fee. Thank you.

### Signature

Examiner Name	Date
<i>[Signature]</i>	7/14/04

RECEIVED  
JUN 25 2004  
TECHNOLOGY CENTER 3700



AF/5239

61

**Electronic Filing System (EFS) Data**  
**Electronic Patent Application Submission**  
**USPTO Use Only**

EFS ID: 63021  
Application ID: 09735426  
Title of Invention: ELECTROSURGICAL SYSTEMS  
AND METHODS FOR  
RECANALIZATION OF OCCLUDED  
BODY LUMENS  
First Named Inventor: Paul Davison  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2000-12-12  
Effective Receipt Date: 2004-06-21  
Submission Type: Information Disclosure  
Statement  
Filing Type:  
Confirmation number: 5287  
Attorney Docket Number: NONE






**RECEIVED**  
JUN 25 2004  
TECHNOLOGY CENTER 3700

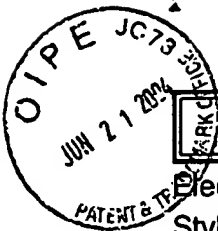
Total Fees Authorized:

Digital Certificate Holder: cn=John Thomas Raffle,ou=Registered Attorneys,ou=Patent and  
Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US  
Certificate Message Digest: e1ec2ff4937f39d9c4733baeb6cb76f8ff562a59

**TRANSMITTAL**

Electronic Version v1.1  
Stylesheet Version v1.1.0

<b>Title of Invention</b>	<b>ELECTROSURGICAL SYSTEMS AND METHODS FOR RECANALIZATION OF OCCLUDED BODY LUMENS</b>																
<table><tr><td>Application Number:</td><td>09/735426</td><td></td></tr><tr><td>Date:</td><td>2000-12-12</td><td></td></tr><tr><td>First Named Applicant:</td><td colspan="2">Paul O. Davison</td></tr><tr><td>Confirmation Number:</td><td>5287</td><td></td></tr><tr><td>Attorney Docket Number:</td><td colspan="2"></td></tr></table> <div style="text-align: right;"><b>RECEIVED</b> JUN 25 2004 TECHNOLOGY CENTER 3700</div>			Application Number:	09/735426		Date:	2000-12-12		First Named Applicant:	Paul O. Davison		Confirmation Number:	5287		Attorney Docket Number:		
Application Number:	09/735426																
Date:	2000-12-12																
First Named Applicant:	Paul O. Davison																
Confirmation Number:	5287																
Attorney Docket Number:																	
<p>I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.</p> <p>I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.</p>																	
<table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>Richard Batt Registered Number: 43485</td><td>RRB</td><td>Attorney</td></tr></tbody></table>			Submitted by:	Elec. Sign.	Sign. Capacity	Richard Batt Registered Number: 43485	RRB	Attorney									
Submitted by:	Elec. Sign.	Sign. Capacity															
Richard Batt Registered Number: 43485	RRB	Attorney															
<table><tr><td><b>Documents being submitted</b></td><td><b>Files</b></td></tr><tr><td>us-ids</td><td>C-8-2Standard2-usidst.xml</td></tr><tr><td></td><td>us-ids.dtd</td></tr><tr><td></td><td>us-ids.xsl</td></tr></table>			<b>Documents being submitted</b>	<b>Files</b>	us-ids	C-8-2Standard2-usidst.xml		us-ids.dtd		us-ids.xsl							
<b>Documents being submitted</b>	<b>Files</b>																
us-ids	C-8-2Standard2-usidst.xml																
	us-ids.dtd																
	us-ids.xsl																
<b>Comments</b>  RCE has been filed, no fee is necessary.																	



# ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
Stylesheet Version v18.0

Title of  
Invention

ELECTROSURGICAL SYSTEMS AND METHODS FOR  
RECANALIZATION OF OCCLUDED BODY LUMENS

Application Number: 09/735426



Confirmation Number: 5287

First Named Applicant: Paul Davison

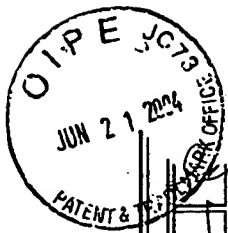
Attorney Docket Number:

Search string: ( 5122138 or 5190517 or 5192280 or 5330470  
or 5366443 or 5647869 or 5662680 or 5417687  
or 5843019 or 5871469 or 4967765 or 5197963  
or 5267997 or 5290282 or 5314406 or 5324254  
or 5383876 or 5395312 or 5944715 or 4202337  
or 4674499 or 4936301 or 4943290 or 4979948  
or 5035696 or 5195959 or 5700262 or 5807395  
or 5496312 or 5556397 or 5681282 or 5683366  
or 5697536 or 5766153 or 5810764 or 5810809  
or 5885277 or 5897553 or 6126682 or 6168593  
or 5423810 or 5436566 or 5438302 or 6004319  
or 6013076 or 6015406 or 6027501 or 6039734  
or 6056746 or 6068628 ).pn.

## US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
<input checked="" type="checkbox"/>	1	5122138	1992-06-16	Manwaring			
<input type="checkbox"/>	2	5190517	1993-03-02	Zieve et al.			
<input type="checkbox"/>	3	5192280	1993-03-09	Parins			
<input type="checkbox"/>	4	5330470	1994-07-19	Hagen			
<input type="checkbox"/>	5	5366443	1994-11-22	Eggers et al.			
<input type="checkbox"/>	6	5647869	1997-07-15	Goble et al.			
<input type="checkbox"/>	7	5662680	1997-09-02	Desai			
<input type="checkbox"/>	8	5417687	1995-05-23	Nardella et al.			
<input type="checkbox"/>	9	5843019	1998-12-01	Eggers et al.			
<input checked="" type="checkbox"/>	10	5871469	1999-02-16	Eggers et al.			



11	4967765	1990-11-06	Turner et al.
12	5197963	1993-03-30	Parins
13	5267997	1993-12-07	Farin et al.
14	5290282	1994-03-01	Casscells
15	5314406	1994-05-24	Arias et al.
16	5324254	1994-06-28	Phillips
17	5383876	1995-01-24	Nardella
18	5395312	1995-03-07	Desai
19	5944715	1999-08-31	Goble et al.
20	4202337	1980-05-13	Hren et al.
21	4674499	1987-06-23	Pao
22	4936301	1990-06-26	Rexroth et al.
23	4943290	1990-07-24	Rexroth et al.
24	4979948	1990-12-25	Geddes et al.
25	5035696	1991-07-30	Rydell
26	5195959	1993-03-23	Smith
27	5700262	1997-12-23	Acosta et al.
28	5807395	1998-09-15	Mulier et al.
29	5496312	1996-03-05	Klicek
30	5556397	1996-09-17	Long et al.
31	5681282	1997-10-28	Eggers et al.
32	5683366	1997-11-04	Eggers et al.
33	5697536	1997-12-16	Eggers et al.
34	5766153	1998-06-16	Eggers et al.
35	5810764	1998-09-22	Eggers et al.
36	5810809	1998-09-22	Rydell
37	5885277	1999-03-23	Korth
38	5897553	1999-04-27	Mulier
39	6126682	2000-10-03	Sharkey et al.
40	6168593	2001-01-02	Sharkey et al.
41	5423810	1995-06-13	Goble et al.
42	5436566	1995-07-25	Thompson et al.
43	5438302	1995-08-01	Goble
44	6004319	1999-12-21	Goble et al.
45	6013076	2000-01-11	Goble et al.
46	6015406	2000-01-18	Goble et al.

RECEIVED  
JUN 25 2004  
TECHNOLOGY CENTER 3700



	6027501	2000-02-22	Goble et al.
48	6039734	2000-03-21	Goble et al.
49	6056746	2000-05-02	Goble et al.
50	6068628	2000-05-30	Fanton et al.

### Remarks

Note: Remarks are not for responding to an office action.

This submission is part 3 of 8 parts each submitted on June 21, 2004. The first part of this submission (part 1) has been concomittently filed via priority mail in a BOX with instructions for the PTO to charge the proper fee, although we do not believe that this part (part 3) of this IDS submission should require a fee. An RCE was filed on June 17, 2004 in this case. Thank you.

### Signature

Examiner Name	Date
<i>[Signature]</i>	7/14/04

RECEIVED  
JUN 25 2004  
TECHNOLOGY CENTER 3700

AF/3739

61



Electronic Filing System (EFS) Data  
Electronic Patent Application Submission  
USPTO Use Only

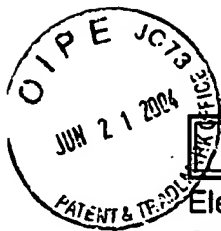
EFS ID: 63032  
Application ID: 09735426  
Title of Invention: ELECTROSURGICAL SYSTEMS  
AND METHODS FOR  
RECANALIZATION OF OCCLUDED  
BODY LUMENS  
First Named Inventor: Paul Davison  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2000-12-12  
Effective Receipt Date: 2004-06-21  
Submission Type: Information Disclosure  
Statement  
Filing Type:  
Confirmation number: 5287  
Attorney Docket Number: NONE




Total Fees Authorized:

Digital Certificate Holder: cn=John Thomas Raffle,ou=Registered Attorneys,ou=Patent and  
Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US  
Certificate Message Digest: 3ef31b8bf38b1463e21a40a73909193b9c8923f8

RECEIVED  
JUN 25 2004  
TECHNOLOGY CENTER 3700

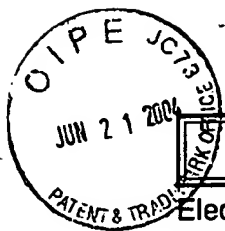
**TRANSMITTAL**

Electronic Version v1.1  
Stylesheet Version v1.1.0

<b>Title of Invention</b>	<b>ELECTROSURGICAL SYSTEMS AND METHODS FOR RECANALIZATION OF OCCLUDED BODY LUMENS</b>									
<b>Application Number:</b> 09/735426 										
<b>Date:</b> 2000-12-12										
<b>First Named Applicant:</b> Paul O Davison										
<b>Confirmation Number:</b> 5287										
<b>Attorney Docket Number:</b>										
<p>I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.</p> <p>I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.</p>										
<table border="1"><thead><tr><th><b>Submitted by:</b></th><th><b>Elec. Sign.</b></th><th><b>Sign. Capacity</b></th></tr></thead><tbody><tr><td>Richard Batt Registered Number: 43485</td><td>RRB</td><td>Attorney</td></tr></tbody></table>			<b>Submitted by:</b>	<b>Elec. Sign.</b>	<b>Sign. Capacity</b>	Richard Batt Registered Number: 43485	RRB	Attorney		
<b>Submitted by:</b>	<b>Elec. Sign.</b>	<b>Sign. Capacity</b>								
Richard Batt Registered Number: 43485	RRB	Attorney								
<table><tr><td><b>Documents being submitted</b></td><td><b>Files</b></td></tr><tr><td>us-ids</td><td>C-8-2Standard3-usidst.xml</td></tr><tr><td></td><td>us-ids.dtd</td></tr><tr><td></td><td>us-ids.xsl</td></tr></table>			<b>Documents being submitted</b>	<b>Files</b>	us-ids	C-8-2Standard3-usidst.xml		us-ids.dtd		us-ids.xsl
<b>Documents being submitted</b>	<b>Files</b>									
us-ids	C-8-2Standard3-usidst.xml									
	us-ids.dtd									
	us-ids.xsl									
<b>Comments</b>  4 of 8										

**RECEIVED**  
JUN 25 2004  
TECHNOLOGY CENTER 370F





## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of  
Invention

ELECTROSURGICAL SYSTEMS AND METHODS FOR  
RECANALIZATION OF OCCLUDED BODY LUMENS

Application Number: 09/735426



Confirmation Number: 5287

First Named Applicant: Paul Davison

Attorney Docket Number:

Search string: ( 6074386 or 6090106 or 6093186 or 6228081  
or 6261286 or 6293942 or 6306134 or 3815604  
or 3920021 or 4590934 or 4785823 or 4805616  
or 4832048 or 5085659 or 5171311 or 5207675  
or 5888198 or 5891095 or 5902272 or 6066134  
or 6149620 or 6159194 or 6159208 or 6179836  
or 6190381 or 6203542 or 6224592 or 6235020  
or 6238391 or 6254600 or 6264652 or 6296636  
or 6296638 or 6312408 or 6322549 or 6355032  
or 6363937 or 6379351 or 6391025 or 6416507  
or 6416508 or 6432103 or 5873855 or 6024733  
or 6063079 or 6109268 or 6117109 or 6142992  
or 6179824 or 6183469 ).pn.

RECEIVED  
JUN 25 2004  
TECHNOLOGY CENTER 3700

### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

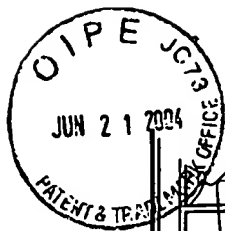
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
<input checked="" type="checkbox"/>	1	6074386	2000-06-13	Goble et al.			
<input type="checkbox"/>	2	6090106	2000-07-18	Goble et al.			
<input type="checkbox"/>	3	6093186	2000-07-25	Goble et al.			
<input type="checkbox"/>	4	6228081	2001-05-08	Goble			
<input type="checkbox"/>	5	6261286	2001-07-17	Goble et al.			
<input type="checkbox"/>	6	6293942	2001-09-25	Goble et al.			
<input type="checkbox"/>	7	6306134	2001-10-23	Goble et al.			
<input type="checkbox"/>	8	3815604	1974-06-11	OMalley et al.			
<input type="checkbox"/>	9	3920021	1975-11-18	Hiltebrandt			
<input checked="" type="checkbox"/>	10	4590934	1986-05-27	Malis et al.			

JUN 21 2004

PATENT & TRADEMARK OFFICE

11	4785823	1988-11-22	Eggers et al.
12	4805616	1989-02-21	Pao
13	4832048	1989-05-23	Cohen
14	5085659	1992-02-04	Rydell
15	5171311	1992-12-15	Rydell et al.
16	5207675	1993-05-04	Canady
17	5888198	1999-03-30	Eggers et al.
18	5891095	1999-03-30	Eggers et al.
19	5902272	1999-05-11	Eggers et al.
20	6066134	2000-05-23	Eggers et al.
21	6149620	2000-11-21	Baker et al.
22	6159194	2000-12-12	Eggers et al.
23	6159208	2000-12-12	Hovda et al.
24	6179836	2001-01-30	Eggers et al.
25	6190381	2001-02-20	Olsen et al.
26	6203542	2001-03-20	Ellsberry et al.
27	6224592	2001-05-01	Eggers et al.
28	6235020	2001-05-22	Cheng et al.
29	6238391	2001-05-29	Olsen et al.
30	6254600	2001-07-30	Willink et al.
31	6264652	2001-07-24	Eggers et al.
32	6296636	2001-10-02	Cheng et al.
33	6296638	2001-10-02	Davison et al.
34	6312408	2001-11-06	Eggers et al.
35	6322549	2001-11-27	Eggers et al.
36	6355032	2002-03-12	Hovda et al.
37	6363937	2002-04-02	Hovda et al.
38	6379351	2002-04-30	Thapliyal et al.
39	6391025	2002-05-21	Weinstein et al.
40	6416507	2002-07-09	Eggers et al.
41	6416508	2002-07-09	Eggers et al.
42	6432103	2002-08-13	Ellsberry et al.
43	5873855	1999-02-23	Eggers et al.
44	6024733	2000-02-15	Eggers et al.
45	6063079	2000-05-16	Hovda et al.
46	6109268	2000-08-29	Thapliyal et al.

RECEIVED  
JUN 25 2004  
TECHNOLOGY CENTER 3700



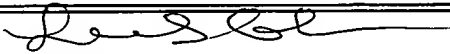
<input checked="" type="checkbox"/>	47	6117109	2000-09-12	Eggers et al.
<input checked="" type="checkbox"/>	48	6142992	2000-11-07	Cheng et al.
<input checked="" type="checkbox"/>	49	6179824	2001-01-30	Eggers et al.
<input checked="" type="checkbox"/>	50	6183469	2001-02-06	Thapliyal et al.

### Remarks

Note: Remarks are not for responding to an office action.

This submission is part 4 of 8 parts each submitted on June 21, 2004. The first part of this submission (part 1) has been concomitantly filed via priority mail in a BOX with instructions for the PTO to charge the proper fee. An RCE has been filed in this case on June 17, 2004. Accordingly, we do not believe that this part (part 4) of this IDS submission should require a fee. Thank you.

### Signature

Examiner Name	Date
	5/14/04

RECEIVED  
JUN 25 2004  
TECHNOLOGY CENTER 3700



AF/3739  
61

Electronic Filing System (EFS) Data  
Electronic Patent Application Submission  
USPTO Use Only

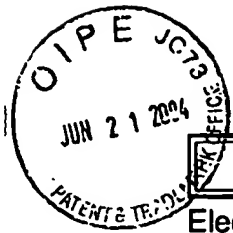
EFS ID: 63033  
Application ID: 09735426  
Title of Invention: ELECTROSURGICAL SYSTEMS  
AND METHODS FOR  
RECANALIZATION OF OCCLUDED  
BODY LUMENS  
First Named Inventor: Paul Davison  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2000-12-12  
Effective Receipt Date: 2004-06-21  
Submission Type: Information Disclosure  
Statement  
Filing Type:  
Confirmation number: 5287  
Attorney Docket Number: NONE



RECEIVED  
JUN 25 2004  
TECHNOLOGY CENTER 3700




Total Fees Authorized:

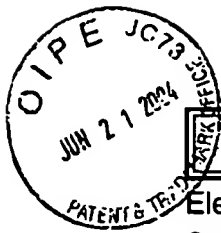
Digital Certificate Holder: cn=John Thomas Raffle,ou=Registered Attorneys,ou=Patent and  
Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US  
Certificate Message Digest: 2204f4044e67c88ff2a6d5121d59456443422dfc

**TRANSMITTAL**

Electronic Version v1.1

Stylesheet Version v1.1.0

<b>Title of Invention</b>	<b>ELECTROSURGICAL SYSTEMS AND METHODS FOR RECANALIZATION OF OCCLUDED BODY LUMENS</b>													
<table border="0"><tr><td>Application Number:</td><td>09/735426</td><td rowspan="4"> <b>RECEIVED</b> <b>JUN 25 2004</b> TECHNOLOGY CENTER 3700</td></tr><tr><td>Date:</td><td>2000-12-12</td></tr><tr><td>First Named Applicant:</td><td>Paul O Davison</td></tr><tr><td>Confirmation Number:</td><td>5287</td></tr><tr><td colspan="2">Attorney Docket Number:</td><td></td></tr></table>			Application Number:	09/735426	 <b>RECEIVED</b> <b>JUN 25 2004</b> TECHNOLOGY CENTER 3700	Date:	2000-12-12	First Named Applicant:	Paul O Davison	Confirmation Number:	5287	Attorney Docket Number:		
Application Number:	09/735426	 <b>RECEIVED</b> <b>JUN 25 2004</b> TECHNOLOGY CENTER 3700												
Date:	2000-12-12													
First Named Applicant:	Paul O Davison													
Confirmation Number:	5287													
Attorney Docket Number:														
<p>I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.</p> <p>I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.</p> <table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>Richard Batt Registered Number: 43485</td><td>RRB</td><td>Attorney</td></tr></tbody></table>			Submitted by:	Elec. Sign.	Sign. Capacity	Richard Batt Registered Number: 43485	RRB	Attorney						
Submitted by:	Elec. Sign.	Sign. Capacity												
Richard Batt Registered Number: 43485	RRB	Attorney												
<table border="0"><tr><td><b>Documents being submitted</b></td><td><b>Files</b></td></tr><tr><td>us-ids</td><td>C-8-2Standard4-usidst.xml</td></tr><tr><td></td><td>us-ids.dtd</td></tr><tr><td></td><td>us-ids.xsl</td></tr></table>			<b>Documents being submitted</b>	<b>Files</b>	us-ids	C-8-2Standard4-usidst.xml		us-ids.dtd		us-ids.xsl				
<b>Documents being submitted</b>	<b>Files</b>													
us-ids	C-8-2Standard4-usidst.xml													
	us-ids.dtd													
	us-ids.xsl													
<b>Comments</b>  5 of 8														



# ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of  
Invention

**ELECTROSURGICAL SYSTEMS AND METHODS FOR  
RECANALIZATION OF OCCLUDED BODY LUMENS**

Application Number: 09/735426



Confirmation Number: 5287

First Named Applicant: Paul Davison

Attorney Docket Number:

Search string: ( 6190381 or 6210402 or 6277112 or 6102046  
or 5375588 or 6530922 or 5197466 or 6602248  
or 3939839 or 3970088 or 4074718 or 4092986  
or 4181131 or 4184492 or 4567890 or 4660571  
or 4727874 or 4920978 or 4936281 or 4966597  
or 5047026 or 5047027 or 5084044 or 5080660  
or 5088997 or 5099840 or 5108391 or 5112330  
or 5167659 or 5217457 or 5217459 or 5277201  
or 5306238 or 5423882 or 5441499 or 5451224  
or 5454809 or 2056377 or 3828780 or 3901242  
or 20020029036 ).pn.

## US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
<input checked="" type="checkbox"/>	1	6190381	2001-02-20	Olsen et al.			
<input type="checkbox"/>	2	6210402	2001-04-03	Olsen et al.			
<input type="checkbox"/>	3	6277112	2001-08-21	Underwood et al.			
<input type="checkbox"/>	4	6102046	2000-08-15	Weinstein et al.			
<input type="checkbox"/>	5	5375588	1994-12-27	Yoon			
<input type="checkbox"/>	6	6530922	2003-03-11	Cosman			
<input type="checkbox"/>	7	5197466	1993-03-30	Marchosky et al.			
<input type="checkbox"/>	8	6602248	2003-08-05	Sharps et al.			
<input type="checkbox"/>	9	3939839	1976-02-24	Curtiss			
<input type="checkbox"/>	10	3970088	1976-07-20	Morrison			
<input type="checkbox"/>	11	4074718	1978-02-21	Morrison, Jr.			
<input checked="" type="checkbox"/>	12	4092986	1978-06-06	Schneiderman			



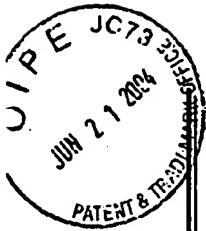
<input checked="" type="checkbox"/>	13	4181131	1980-01-01	Ogiu
<input type="checkbox"/>	14	4184492	1980-01-22	Meinke et al.
<input type="checkbox"/>	15	4567890	1986-02-04	Ohta et al.
<input type="checkbox"/>	16	4660571	1987-04-28	Hess et al.
<input type="checkbox"/>	17	4727874	1988-03-01	Bowers et al.
<input type="checkbox"/>	18	4920978	1990-05-01	Colvin
<input type="checkbox"/>	19	4936281	1990-06-26	Stasz
<input type="checkbox"/>	20	4966597	1990-10-30	Cosman
<input type="checkbox"/>	21	5047026	1991-09-10	Rydell
<input type="checkbox"/>	22	5047027	1991-09-10	Rydell
<input type="checkbox"/>	23	5084044	1992-01-28	Quint
<input type="checkbox"/>	24	5080660	1992-01-14	Buelna
<input type="checkbox"/>	25	5088997	1992-02-18	Delahuerga et al.
<input type="checkbox"/>	26	5099840	1992-03-31	Goble
<input type="checkbox"/>	27	5108391	1992-04-28	Flachenecker et al.
<input type="checkbox"/>	28	5112330	1992-05-12	Nishigaki et al.
<input type="checkbox"/>	29	5167659	1992-12-01	Ohtomo et al.
<input type="checkbox"/>	30	5217457	1993-06-08	Delahuerga et al.
<input type="checkbox"/>	31	5217459	1993-06-08	Kamerling
<input type="checkbox"/>	32	5277201	1994-01-11	Stern
<input type="checkbox"/>	33	5306238	1994-04-26	Fleenor
<input type="checkbox"/>	34	5423882	1995-06-13	Jackman et al.
<input type="checkbox"/>	35	5441499	1995-08-15	Fritzsch
<input type="checkbox"/>	36	5451224	1995-09-19	Goble et al.
<input type="checkbox"/>	37	5454809	1995-10-03	Janssen
<input type="checkbox"/>	38	2056377	1939-10-06	Wappler
<input type="checkbox"/>	39	3828780	1974-08-13	Morrison, Jr. et al.
<input checked="" type="checkbox"/>	40	3901242	1975-08-26	Storz

### US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
<input checked="" type="checkbox"/>	1	20020029036	2002-03-07	Goble et al.			


Remarks



Note: Remarks are not for responding to an office action.

This submission is part 5 of 8 parts each submitted on June 21, 2004. The first part of this submission (part 1) has been concomittently filed via priority mail in a BOX with instructions for the PTO to charge the proper fee. An RCE has been filed in this case and we do not believe that this part (part 5) of this IDS submission should require an additional fee. Thank you.

Signature

Examiner Name	Date
	7/17/04





AF/3739

61

Electronic Filing System (EFS) Data  
Electronic Patent Application Submission  
USPTO Use Only

EFS ID: 63034  
Application ID: 09735426  
Title of Invention: ELECTROSURGICAL SYSTEMS  
AND METHODS FOR  
RECANALIZATION OF OCCLUDED  
BODY LUMENS  
First Named Inventor: Paul Davison  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2000-12-12  
Effective Receipt Date: 2004-06-21  
Submission Type: Information Disclosure  
Statement  
Filing Type:  
Confirmation number: 5287  
Attorney Docket Number: NONE

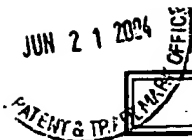


RECEIVED  
JUN 25 2004  
TECHNOLOGY CENTER 3700

Total Fees Authorized:




Digital Certificate Holder: cn=John Thomas Raffle,ou=Registered Attorneys,ou=Patent and  
Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US  
Certificate Message Digest: 9ddf043e5bf4f2c9688d99dba9319ccf7867d6ee

JUN 21 2004

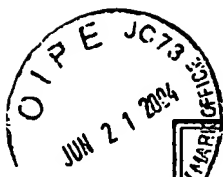


## TRANSMITTAL

Electronic Version v1.1  
Stylesheet Version v1.1.0

Title of Invention	ELECTROSURGICAL SYSTEMS AND METHODS FOR RECANALIZATION OF OCCLUDED BODY LUMENS																
<table><tr><td>Application Number:</td><td>09/735426</td><td></td></tr><tr><td>Date:</td><td>2000-12-12</td><td></td></tr><tr><td>First Named Applicant:</td><td>Paul O. Davison</td><td></td></tr><tr><td>Confirmation Number:</td><td>5287</td><td></td></tr><tr><td>Attorney Docket Number:</td><td></td><td></td></tr></table>			Application Number:	09/735426		Date:	2000-12-12		First Named Applicant:	Paul O. Davison		Confirmation Number:	5287		Attorney Docket Number:		
Application Number:	09/735426																
Date:	2000-12-12																
First Named Applicant:	Paul O. Davison																
Confirmation Number:	5287																
Attorney Docket Number:																	
<p>I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.</p> <p>I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.</p>																	
<table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>Richard Batt Registered Number: 43485</td><td>RRB</td><td>Attorney</td></tr></tbody></table>			Submitted by:	Elec. Sign.	Sign. Capacity	Richard Batt Registered Number: 43485	RRB	Attorney									
Submitted by:	Elec. Sign.	Sign. Capacity															
Richard Batt Registered Number: 43485	RRB	Attorney															
<table><tr><td>Documents being submitted</td><td>Files</td></tr><tr><td>us-ids</td><td>C-8-2CARDIO1-usidst.xml</td></tr><tr><td></td><td>us-ids.dtd</td></tr><tr><td></td><td>us-ids.xsl</td></tr></table>			Documents being submitted	Files	us-ids	C-8-2CARDIO1-usidst.xml		us-ids.dtd		us-ids.xsl							
Documents being submitted	Files																
us-ids	C-8-2CARDIO1-usidst.xml																
	us-ids.dtd																
	us-ids.xsl																
<p>Comments</p> <p>6 of 8</p>																	

RECEIVED  
JUN 25 2004  
TECHNOLOGY CENTER 3700



Patent & IT

## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of  
Invention

ELECTROSURGICAL SYSTEMS AND METHODS FOR  
RECANALIZATION OF OCCLUDED BODY LUMENS

Application Number: 09/735426

Confirmation Number: 5287

First Named Applicant: Paul Davison

Attorney Docket Number:

Search string: ( 4582056 or 4582057 or 4643186 or 4641649  
or 4646737 or 4654024 or 4658817 or 4672962  
or 4785815 or 4799479 or 4860743 or 4907586  
or 4976709 or 5041109 or 5078736 or 5093877  
or 5102410 or 5125924 or 5125926 or 5137530  
or 5140987 or 5152759 or 5156151 or 5178618  
or 5188635 or 5190540 or 5200604 or 5217455  
or 5222938 or 5224953 or 5230334 or 5246438  
or 5250045 or 5261410 or 5263951 or 5279299  
or 5281211 or 5282797 or 5290273 or 5292321  
or 5293868 or 5304170 or 5312395 or 5318525  
or 5330496 or 5334190 or 5335668 or 5336217  
or 5370642 or 5370644 ).pn.



RECEIVED  
JUN 25 2004  
TECHNOLOGY CENTER 3700

### US Patent Documents

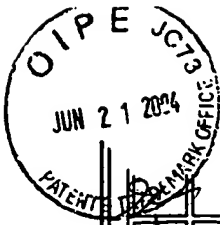
Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
<input checked="" type="checkbox"/>	1	4582056	1986-04-15	McCorkle, Jr.			
<input type="checkbox"/>	2	4582057	1986-04-15	Auth			
<input type="checkbox"/>	3	4643186	1987-02-17	Rosen			
<input type="checkbox"/>	4	4641649	1987-02-10	Walinsky			
<input type="checkbox"/>	5	4646737	1987-03-03	Hussein			
<input type="checkbox"/>	6	4654024	1987-03-31	Crittendon			
<input type="checkbox"/>	7	4658817	1987-04-21	Hardy			
<input type="checkbox"/>	8	4672962	1987-06-16	Hershenson			
<input type="checkbox"/>	9	4785815	1988-11-22	Cohen			
<input type="checkbox"/>	10	4799479	1989-01-24	Spears			



11	4860743	1989-08-29	Abela
12	4907586	1990-03-13	Bille
13	4976709	1990-12-11	Sand
14	5041109	1991-08-20	Abela
15	5078736	1992-01-07	Behl
16	5093877	1992-03-03	Aita
17	5102410	1992-04-07	Dressel
18	5125924	1992-06-30	Rudko
19	5125926	1992-06-30	Rudko
20	5137530	1992-08-11	Sand
21	5140987	1992-08-25	Schuger
22	5152759	1992-10-06	Parel
23	5156151	1992-10-20	Imran
24	5178618	1993-01-12	Kandarpa
25	5188635	1993-02-23	Radtke
26	5190540	1993-03-02	Lee
27	5200604	1993-04-06	Rudko
28	5217455	1993-06-08	Tan
29	5222938	1993-06-29	Behl
30	5224953	1993-07-06	Morgentaler
31	5230334	1993-07-27	Klopotek
32	5246438	1993-09-21	Langberg
33	5250045	1993-10-05	Bohley
34	5261410	1993-11-16	Alfano
35	5263951	1993-11-23	Spears
36	5279299	1994-01-18	Imran
37	5281211	1994-01-25	Parel
38	5282797	1994-02-01	Chess
39	5290273	1994-03-01	Tan
40	5292321	1994-03-08	Lee
41	5293868	1994-03-15	Nardella
42	5304170	1994-04-19	Green
43	5312395	1994-05-17	Tan
44	5318525	1994-06-07	West
45	5330496	1994-07-19	Alferness
46	5334190	1994-08-02	Seiler

RECEIVED  
JUN 25 2004  
TECHNOLOGY CENTER 3100



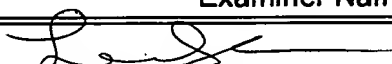
<input checked="" type="checkbox"/>	47	5335668	1994-08-09	Nardella
<input type="checkbox"/>	48	5336217	1994-08-09	Buys
<input type="checkbox"/>	49	5370642	1994-12-06	Keller
<input checked="" type="checkbox"/>	50	5370644	1994-12-06	Langberg

### Remarks

Note: Remarks are not for responding to an office action.

This submission is part 6 of 8 parts each submitted on June 21, 2004. The first part of this submission (part 1) has been concomitantly filed via priority mail in a BOX with instructions for the PTO to charge the proper fee. An RCE has been filed in this case and we do not believe that this part (part 6) of this IDS submission should require an additional fee. Thank you.

### Signature

Examiner Name	Date
	7/14/04

RECEIVED  
JUN 25 2004  
TECHNOLOGY CENTER 3700



AF/3939  
61

Electronic Filing System (EFS) Data  
Electronic Patent Application Submission  
USPTO Use Only

EFS ID: 63035  
Application ID: 09735426  
Title of Invention: ELECTROSURGICAL SYSTEMS  
AND METHODS FOR  
RECANALIZATION OF OCCLUDED  
BODY LUMENS  
First Named Inventor: Paul Davison  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2000-12-12  
Effective Receipt Date: 2004-06-21  
Submission Type: Information Disclosure  
Statement  
Filing Type:  
Confirmation number: 5287  
Attorney Docket Number: NONE





RECEIVED  
JUN 25 2004  
TECHNOLOGY CENTER 3700

Total Fees Authorized:

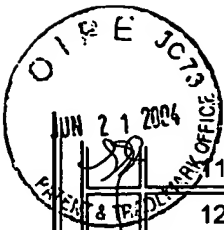
Digital Certificate Holder: cn=John Thomas Raffle,ou=Registered Attorneys,ou=Patent and  
Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US  
Certificate Message Digest: c1ab5fd2d9e2f9ad753cfe34e4ef1a34a996b341

Electronic Version v1.1  
Stylesheet Version v1.1.0

<b>Title of Invention</b>	<b>ELECTROSURGICAL SYSTEMS AND METHODS FOR RECANALIZATION OF OCCLUDED BODY LUMENS</b>								
<p>Application Number: 09/735426 </p> <p>Date: 2000-12-12</p> <p>First Named Applicant: Paul O Davison</p> <p>Confirmation Number: 5287</p> <p>Attorney Docket Number:</p>									
<p style="text-align: right;"><b>RECEIVED</b> <b>JUN 25 2004</b> TECHNOLOGY CENTER 3700</p>									
<p>I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.</p> <p>I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.</p>									
<table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>Richard Batt Registered Number: 43485</td><td>RRB</td><td>Attorney</td></tr></tbody></table>		Submitted by:	Elec. Sign.	Sign. Capacity	Richard Batt Registered Number: 43485	RRB	Attorney		
Submitted by:	Elec. Sign.	Sign. Capacity							
Richard Batt Registered Number: 43485	RRB	Attorney							
<table><tr><td>Documents being submitted</td><td>Files</td></tr><tr><td>us-ids</td><td>C-8-2CARDIO2-usidst.xml</td></tr><tr><td></td><td>us-ids.dtd</td></tr><tr><td></td><td>us-ids.xsl</td></tr></table>		Documents being submitted	Files	us-ids	C-8-2CARDIO2-usidst.xml		us-ids.dtd		us-ids.xsl
Documents being submitted	Files								
us-ids	C-8-2CARDIO2-usidst.xml								
	us-ids.dtd								
	us-ids.xsl								
<p><b>Comments</b></p> <p>7 of 8</p>									

<b>Title of Invention</b>	<b>ELECTROSURGICAL SYSTEMS AND METHODS FOR RECANALIZATION OF OCCLUDED BODY LUMENS</b>																																																																																								
<div style="display: flex; justify-content: space-between;"><div><p>Application Number: 09/735426</p><p>Confirmation Number: 5287</p><p>First Named Applicant: Paul Davison</p><p>Attorney Docket Number:</p><p>Search string: ( 5374265 or 5380316 or 5389096 or 5400428 or 5423803 or 5423806 or 5425355 or 5429604 or 5433708 or 5437658 or 5437662 or 5445634 or 5456680 or 5462544 or 5464404 or 5484433 or 5487385 or 5500012 or 5505725 or 5507771 or 5514128 or 5540712 or 5542928 or 5545161 or 5545211 or 5554152 or 5567890 or 5571169 or 5579764 or 5591159 or 5603731 or 5607421 or 5620438 or 5643251 or 5643255 or 5672170 or 5673695 or 5681308 or 5749914 or 5766192 or 5769843 or 5775338 or 5807384 or 5817013 or 5823955 or 5836868 or 5840059 or 5860951 or 5078736 or 4785806 ).pn.</p></div><div style="text-align: right;"></div></div>																																																																																									
<div style="transform: rotate(-45deg); font-weight: bold; font-size: 1.2em;">RECEIVED</div> <div style="transform: rotate(-45deg); font-weight: bold; font-size: 0.8em;">JUN 25 2004</div> <div style="transform: rotate(-45deg); font-weight: bold; font-size: 0.7em;">TECHNOLOGY CENTER 3700</div>																																																																																									
<p><b>US Patent Documents</b></p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p>																																																																																									
<table border="1" style="width: 100%; border-collapse: collapse;"><thead><tr><th style="width: 5%;">init</th><th style="width: 15%;">Cite.No.</th><th style="width: 15%;">Patent No.</th><th style="width: 15%;">Date</th><th style="width: 20%;">Patentee</th><th style="width: 10%;">Kind</th><th style="width: 10%;">Class</th><th style="width: 10%;">Subclass</th></tr></thead><tbody><tr><td><input checked="" type="checkbox"/></td><td>1</td><td>5374265</td><td>1994-12-20</td><td>Sand</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>2</td><td>5380316</td><td>1995-01-10</td><td>Aita</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>3</td><td>5389096</td><td>1995-02-14</td><td>Aita</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>4</td><td>5400428</td><td>1995-03-21</td><td>Grace</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>5</td><td>5423803</td><td>1995-06-13</td><td>Tankovich</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>6</td><td>5423806</td><td>1995-06-13</td><td>Dale</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>7</td><td>5425355</td><td>1995-06-20</td><td>Kulick</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>8</td><td>5429604</td><td>1995-07-04</td><td>Hammersmark</td><td></td><td></td><td></td></tr><tr><td><input type="checkbox"/></td><td>9</td><td>5433708</td><td>1995-07-18</td><td>Nichols</td><td></td><td></td><td></td></tr><tr><td><input checked="" type="checkbox"/></td><td>10</td><td>5437658</td><td>1995-08-01</td><td>Muller</td><td></td><td></td><td></td></tr></tbody></table>		init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass	<input checked="" type="checkbox"/>	1	5374265	1994-12-20	Sand				<input type="checkbox"/>	2	5380316	1995-01-10	Aita				<input type="checkbox"/>	3	5389096	1995-02-14	Aita				<input type="checkbox"/>	4	5400428	1995-03-21	Grace				<input type="checkbox"/>	5	5423803	1995-06-13	Tankovich				<input type="checkbox"/>	6	5423806	1995-06-13	Dale				<input type="checkbox"/>	7	5425355	1995-06-20	Kulick				<input type="checkbox"/>	8	5429604	1995-07-04	Hammersmark				<input type="checkbox"/>	9	5433708	1995-07-18	Nichols				<input checked="" type="checkbox"/>	10	5437658	1995-08-01	Muller			
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass																																																																																		
<input checked="" type="checkbox"/>	1	5374265	1994-12-20	Sand																																																																																					
<input type="checkbox"/>	2	5380316	1995-01-10	Aita																																																																																					
<input type="checkbox"/>	3	5389096	1995-02-14	Aita																																																																																					
<input type="checkbox"/>	4	5400428	1995-03-21	Grace																																																																																					
<input type="checkbox"/>	5	5423803	1995-06-13	Tankovich																																																																																					
<input type="checkbox"/>	6	5423806	1995-06-13	Dale																																																																																					
<input type="checkbox"/>	7	5425355	1995-06-20	Kulick																																																																																					
<input type="checkbox"/>	8	5429604	1995-07-04	Hammersmark																																																																																					
<input type="checkbox"/>	9	5433708	1995-07-18	Nichols																																																																																					
<input checked="" type="checkbox"/>	10	5437658	1995-08-01	Muller																																																																																					





11	5437662	1995-08-01	Nardella
12	5445634	1995-08-29	Keller
13	5456680	1995-10-10	Taylor
14	5462544	1995-10-03	Sakesena
15	5464404	1995-11-07	Abela
16	5484433	1996-01-16	Taylor
17	5487385	1996-01-30	Avitall
18	5500012	1996-03-19	Brucker
19	5505725	1996-04-09	Samson
20	5507771	1996-04-16	Gianturco
21	5514128	1996-05-07	Hillsman
22	5540712	1996-07-30	Kleshinski
23	5542928	1996-08-06	Evans
24	5545161	1996-08-13	Imran
25	5545211	1996-08-13	An
26	5554152	1996-09-10	Aita
27	5567890	1996-10-22	Ohta
28	5571169	1996-11-05	Plaia
29	5579764	1996-12-03	Goldreyer
30	5591159	1997-01-07	Taheri
31	5603731	1997-02-18	Whitney
32	5607421	1997-03-04	Jeevanandam
33	5620438	1997-04-15	Amplatz
34	5643251	1997-07-01	Hillsman
35	5643255	1997-07-01	Organ
36	5672170	1997-09-30	Cho
37	5673695	1997-10-07	McGee
38	5681308	1997-10-28	Edwards
39	5749914	1998-05-12	Janssen
40	5766192	1998-06-16	Zacca
41	5769843	1998-06-23	Abela
42	5775338	1998-07-07	Hastings
43	5807384	1998-09-15	Mueller
44	5817013	1998-10-06	Ginn
45	5823955	1998-10-20	Kuck
46	5836868	1998-11-17	Resseman

RECEIVED  
JUN 25 2004  
TECHNOLOGY CENTER 3700




47	5840059	1998-11-24	March
48	5860951	1998-01-19	Eggers
49	5078736	1992-01-07	Behl
50	4785806	1988-11-22	Deckelbaum

## Remarks

Note: Remarks are not for responding to an office action.

This submission is part 7 of 8 parts each submitted on June 21, 2004. The first part of this submission (part 1) has been concomittently filed via priority mail in a BOX with instructions for the PTO to charge the proper fee. An RCE has been filed in this case and we do not believe that this part (part 7) of this IDS submission should require an additional fee. Thank you.

## Signature

Examiner Name	Date
	7/14/04

RECEIVED

JUN 25 2004

TECHNOLOGY CENTER 3700

FORM PTO-1449 (Modified)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Attorney Docket No.  <b>C-8-2</b>	Application No.:  <b>09/735,426</b>
Applicant: <b>Paul O. Davison, et al.</b>			
		Filing Date: <b>April 29, 2000</b>	Group: 3739

U.S. PATENT DOCUMENTS						
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date

FOREIGN PATENT DOCUMENTS						
	Document No.	Date	Country	Class	Sub-class	Translation (yes/no)
<input checked="" type="checkbox"/> AN	57-57802	04/05/82	JP	A61B	1/00	
<input type="checkbox"/> AH	97/48345	12/24/97	WIPO	A61B	17/39	
<input type="checkbox"/> AI	98/27880	07/02/98	WIPO	A61B	17/39	
<input type="checkbox"/> AB	92/21278	12/10/92	WIPO	A61B	5/04	
<input type="checkbox"/> AC	94/08654	04/28/94	WIPO	A61M	37/00	
<input type="checkbox"/> AD	97/00647	01/09/97	WIPO	A61B	17/39	
<input type="checkbox"/> AE	97/00646	01/09/97	WIPO	A61B	17/39	
<input type="checkbox"/> AJ	2 327 350	01/27/99	UK	A61B	17/39	
<input type="checkbox"/> AK	2 327 351	01/27/99	UK	A61B	17/39	
<input type="checkbox"/> AL	2 327 352	01/27/99	UK	A61B	17/39	
<input type="checkbox"/> AA	0 694 290	11/15/00	Europe	A61B	18/04	
<input type="checkbox"/> AF	0 703 461	03/27/96	Europe	G01R	27/02	
<input type="checkbox"/> AG	0 754 437	01/22/97	Europe	A61B	17/39	
<input checked="" type="checkbox"/> AM	3930451	03/21/91	Germany	A61B	17/39	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
<input checked="" type="checkbox"/> AO	Pearce, John A. (1986) <i>Electrosurgery</i> , pgs. 17, 69-75, 87, John Wiley & Sons, New York.
<input type="checkbox"/> AP	J.W. Ramsey et al. <i>Urological Research</i> Vol. 13, pp. 99-102 (1985).
<input type="checkbox"/> AQ	V.E. Elsasser et al. <i>Acta Medico Technica</i> Vol. 24, No. 4, pp. 129-134 (1976).
<input type="checkbox"/> AR	P.C. Nardella (1989) <i>SPIE</i> 1068:42-49 Radio Frequency Energy and Impedance Feedback
<input type="checkbox"/> AS	R. Tucker et al., Abstract P14-11, p. 248, "A Bipolar Electrosurgical Turp Loop"
<input type="checkbox"/> AT	R. Tucker et al. <i>J. of Urology</i> Vol. 141, pp. 662-665, (1989).
<input type="checkbox"/> AU	R. Tucker et al. <i>Urological Research</i> Vol. 18, pp. 291-294 (1990).
<input checked="" type="checkbox"/> AV	Kramolowsky et al. <i>J. of Urology</i> Vol. 143, pp. 275-277 (1990).

EXAMINER <i>[Signature]</i>	DATE CONSIDERED <i>7/14/01</i>
-----------------------------	--------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>FORM PTO-1449 (Modified)</b> <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE</b> <b>STATEMENT</b> (Use several sheets if necessary)		<b>Attorney Docket No.</b> <div style="text-align: center; font-weight: bold;">C-8-2</div>	<b>Application No.:</b> <div style="text-align: center; font-weight: bold;">09/735,426</div>
		<b>Applicant: Paul O. Davison, et al.</b>	
		<b>Filing Date:</b> <b>April 29, 2000</b>	<b>Group: 3739</b>

Reference Designation		U.S. PATENT DOCUMENTS				
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date

FOREIGN PATENT DOCUMENTS						
	Document No.	Date	Country	Class	Sub-class	Translation (yes/no)
<input checked="" type="checkbox"/>	BK	57-117843	JP	A61B	17/39	
<input type="checkbox"/>	BL	99/51158	WIPO	A61B	17/39	
<input type="checkbox"/>	BM	99/51155	WIPO	A61B	17/36	
<input type="checkbox"/>	BF	97/48346	WIPO	A61B	17/39	
<input type="checkbox"/>	AW	95/34259	WIPO	A61F	5/48	
<input type="checkbox"/>	BN	98/27879	WIPO	A61B	17/36	
<input type="checkbox"/>	BE	97/24994	WIPO	A61B	17/39	
<input type="checkbox"/>	BD	97/24993	WIPO	A61B	17/39	
<input type="checkbox"/>	BO	97/24074	WIPO	A61B	17/39	
<input type="checkbox"/>	BC	97/24073	WIPO	A61B	17/39	
<input type="checkbox"/>	AX	93/13816	WIPO	A61B	17/36	
<input type="checkbox"/>	AY	90/07303	WIPO	A61B	17/39	
<input type="checkbox"/>	BG	98/07468	WIPO	A61N	1/40	
<input type="checkbox"/>	AZ	94/04220	WIPO	yes	A61N	
<input type="checkbox"/>	BB	96/00042	WIPO	A61B	17/39	
<input type="checkbox"/>	BH	2 308 979	GB	A61B	17/36	
<input type="checkbox"/>	BI	2 308 980	GB	A61B	17/36	
<input type="checkbox"/>	BJ	2 308 981	GB	A61B	17/36	
<input checked="" type="checkbox"/>	BA	0 740 926 A2	EP	A61B	17/39	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
<input checked="" type="checkbox"/>	BP Kramolowsky et al. J. of Urology Vol. 146, pp. 669-674 (1991).
<input type="checkbox"/>	BQ Slager et al. Z. Kardiol. 76:Suppl. 6, 67-71 (1987).
<input type="checkbox"/>	BR Slager et al. JACC 5(6):1382-6 (1985).
<input checked="" type="checkbox"/>	ES Olsen MD, Bipolar Laparoscopic Cholecstectomy Lecture (marked confidential), 10/07/91

<b>EXAMINER</b> <i>Reid</i>	<b>DATE CONSIDERED</b> <i>7/17/04</i>
-----------------------------	---------------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Attorney Docket No.  <b>C-8-2</b>	Application No.:  <b>09/735,426</b>			
Applicant: <b>Paul O. Davison, et al.</b>						
Filing Date: <b>April 29, 2000</b>		Group: 3739				
Reference Designation <b>U.S. PATENT DOCUMENTS</b>						
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date
<b>FOREIGN PATENT DOCUMENTS</b>						
	Document No.	Date	Country	Class	Sub-class	Translation (yes/no)
<input checked="" type="checkbox"/> BU1	93/20747	10/28/93	WIPO	A61B	5/00	
<input checked="" type="checkbox"/> BT	90/03152	04/05/90	WIPO	A61B	17/39	
<input checked="" type="checkbox"/> BU	2313949	01/07/77	France	A61N	3/02	
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>						
<input checked="" type="checkbox"/> BV	Codman & Shurtleff, Inc. "The Malis Bipolar Electrosurgical System CMC-III Instruction Manual" 7/1991					
<input type="checkbox"/> BW	Valley Forge's New Products, CLINICA, 475, 5, 11/6/91					
<input type="checkbox"/> BX	Valley Forge Scientific Corp., "Summary of Safety and Effective Information from 510K," 1991					
<input type="checkbox"/> BY	Codman & Shurtleff, Inc. "The Malis Bipolar Coagulating and Bipolar Cutting System CMC-II" brochure, early 1991					
<input type="checkbox"/> BZ	L. Malis, "The Value of Irrigation During Bipolar Coagulation" See ARTC 21602, early 4/9/93					
<input type="checkbox"/> CA	L. Malis, "Excerpted from a seminar by Leonard I. Malis, M.D. at the 1995 American Association of Neurological Surgeons Meeting," 1995					
<input type="checkbox"/> CB	L. Malis, "Electrosurgery, Technical Note," J. Neursurg., Vol. 85, 970-975, 11/96					
<input type="checkbox"/> CC	Ian E. Shuman, "Bipolar Versus Monopolar Electrosurgery: Clinical Applications," Dentistry Today, Vol. 20, No. 12, 12/01					
<input type="checkbox"/> CD	Protell et al., "Computer-Assisted Electrocoagulation: Bipolar v. Monopolar in the Treatment of Experimental Canine Gastric Ulcer Bleeding," Gastroenterology Vol. 80, No. 3, pp. 451-455					
<input type="checkbox"/> CE	Cook and Webster, "Therapeutic Medical Devices: Application and Design," 1982					
<input type="checkbox"/> CF	Valleylab SSE2L Instruction Manual, 1/6/83					
<input type="checkbox"/> CG	Robert D. Tucker et al., "Demodulated Low Frequency Currents from Electrosurgical Procedures," Surgery, Gynecology and Obstetrics, 159:39-43, 1984					
<input checked="" type="checkbox"/> CH	Lu, et al., "Electrical Thermal Angioplasty: Catheter Design Features, In Vitro Tissue Ablation Studies and In Vitro Experimental Findings," Am J. Cardiol Vol. 60, pp. 1117-1122					
EXAMINER <i>[Signature]</i>		DATE CONSIDERED <i>7/14/04</i>				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>FORM PTO-1449 (Modified)</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> <small>(Use several sheets if necessary)</small>		<b>Attorney Docket No.</b>  <b>C-8-2</b>	<b>Application No.:</b>  <b>09/735,426</b>			
		<b>Applicant: Paul O. Davison, et al.</b>				
		<b>Filing Date:</b> <b>April 29, 2000</b>	<b>Group: 3739</b>			
<b>Designation U.S. PATENT DOCUMENTS</b>						
<b>Examiner Initial</b>	<b>Document No.</b>	<b>Date</b>	<b>Name</b>	<b>Class</b>	<b>Sub-class</b>	<b>Filing Date</b>
<b>FOREIGN PATENT DOCUMENTS</b>						
	<b>Document No.</b>	<b>Date</b>	<b>Country</b>	<b>Class</b>	<b>Sub-class</b>	<b>Translation (yes/no)</b>
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>						
✓	CH	Selikowitz & LaCourse, "Electric Current and Voltage Recordings on the Myocardium During Electrosurgical Procedures in Canines," <i>Surgery, Gynecology &amp; Obstetrics</i> , Vol. 164, 219-224, March 1987				
—	CI	J. O'Malley, Schaum's Outline of Theory and Problems of Basic Circuit Analysis, McGraw-Hill, 2 <sup>nd</sup> Ed., 1992, pp. 3-5				
—	CJ	Arnaud Wattiez et al., "Electrosurgery in Operative Endoscopy," <i>Electrosurgical Effects</i> , Blackwell Science, pp. 85-93, 1995				
—	CK	Leslie A. Geddes, "Medical Device Accidents: With Illustrative Cases" CRC Press, 1998				
—	CL	Wyeth, "Electrosurgical Unit" pp. 1181-1202				
—	CM	C.P. Swain, et al., <i>Gut</i> Vol. 25, pp. 1424-1431 (1984)				
—	CN	Piercey et al., <i>Gastroenterology</i> Vol. 74(3), pp. 527-534 (1978)				
—	CO	A.K. Dobbie <i>Bio-Medical Engineering</i> Vol. 4, pp. 206-216 (1969)				
—	CP	B. Lee et al. <i>JACC</i> Vol. 13(5), pp. 1167-1175 (1989)				
—	CQ	K. Barry et al. <i>American Heart Journal</i> Vol. 117, pp. 332-341 (1982)				
—	CR	W. Honig <i>IEEE</i> pp. 58-65 (1975)				
—	CS	Jacob Kline, <i>Handbook of Biomedical Engineering</i> , Academic Press Inc., N.Y., pp. 98-113, 1988				
—	CT	M.B. Dennis et al. "Evolution of Electrofulguration in Control of Bleeding of Experimental Gastric Ulcers," <i>Digestive Diseases and Sciences</i> , Vol. 24, No. 11, 845-848				
—	CU	Letter from Department of Health to Jerry Malis dated April 15, 1985				
—	CV	Letter from Jerry Malis to FDA dated July 25, 1985				
—	CW	Letter from Department of Health to Jerry Malis dated 04/22/91				
—	CX	Leonard Malis, "Instrumentation for Microvascular Neurosurgery" <i>Cerebrovascular Surgery</i> , Vol 1, 245-260, 1985.				
—	CY	Valleylab, Inc. "Valleylab Part Number 945 100 102 A" Surgistat Service Manual, 7/88				
✓	Cz	Leonard I. Malis, "New Trends in Microsurgery and Applied Technology," <i>Advanced Technology in Neurosurgery</i> , 1-16, 1988				
<b>EXAMINER</b> <i>[Signature]</i>		<b>DATE CONSIDERED</b> 7/14/04				

<b>FORM PTO-1449 (Modified)</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)		<b>Attorney Docket No.</b>  <b>C-8-2</b>	<b>Application No.:</b>  <b>09/735,426</b>
		<b>Applicant: Paul O. Davison, et al.</b>	
		<b>Filing Date:</b> <b>April 29, 2000</b>	<b>Group: 3739</b>

Reference	Designation	U.S. PATENT DOCUMENTS				
Initial	Document No.	Date	Name	Class	Sub-class	Filing Date

FOREIGN PATENT DOCUMENTS						
	Document No.	Date	Country	Class	Sub-class	Translation (yes/no)
<input checked="" type="checkbox"/> DA	29609350	5/24/96	DE			Yes
<input type="checkbox"/> DB	98/38925	9/11/98	WO			
<input type="checkbox"/> DC	98/39038	9/11/98	WO			
<input type="checkbox"/> DD	19537084	4/10/97	DE			Yes
<input type="checkbox"/> DE	0553576	4/17/96	EP			
<input type="checkbox"/> DF	0515867	7/7/99	EP			
<input type="checkbox"/> DG	29619029	4/10/97	DE			Yes
<input type="checkbox"/> DH	94/14383	7/7/94	WO			
<input type="checkbox"/> DI	96/39965	12/19/96	WO			
<input type="checkbox"/> DJ	96/39963	12/19/96	WO			
<input type="checkbox"/> DK	96/39962	12/19/96	WO			
<input type="checkbox"/> DL	96/35469	11/14/96	WO			
<input type="checkbox"/> DM	98/30144	7/16/98	WO			
<input type="checkbox"/> DN	98/27877	7/2/98	WO			
<input type="checkbox"/> DO	98/23324	6/4/98	WO			
<input type="checkbox"/> DP	98/19614	5/14/98	WO			
<input type="checkbox"/> DQ	98/17186	4/30/98	WO			
<input type="checkbox"/> DR	98/17185	4/30/98	WO			
<input type="checkbox"/> DS	97/44071	11/27/97	WO			
<input type="checkbox"/> DT	97/34540	9/25/97	WO			
<input checked="" type="checkbox"/> DU	97/25101	7/17/97	WO			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
<input checked="" type="checkbox"/> DV	Regional myocardial blood flow and cardiac mechanics in dog hearts with CO <sub>2</sub> laser-induced intramyocardial revascularization, Basic Research in Cardiology 85:179-197(1990) R. Hardy, F. James, R Millard and S. Kaplan
<input type="checkbox"/> DW	Transventricular Revascularization by Laser, Lasers in Surgery and Medicine 2:187-198(1982) M. Mirhoseini MD, Muckerheide, and Cayton RN
<input checked="" type="checkbox"/> DX	Transmyocardial Laser Revascularization: A Review; J. Of Clinical Laser Medicine & Surgery V. 11, No. 1 1993 pp 15-19; M. Mirhoseini, MD, S. Shelgikar MD, M Cayton RN,

EXAMINER <i>[Signature]</i>	DATE CONSIDERED <i>5/17/04</i>
-----------------------------	--------------------------------